UDK 785.74Haydn

Sven H. Hansell (Wien)

Kapriciozne plati Haydnovega kvarteta: vzporednice med glasbenim fraziranjem in italijansko pesniško teorijo

A Capricious Aspect of a Haydn Quartet: Parallels Linking Musical Phrasing to Italian Poetic Theory

Ključne besede: Joseph Haydn, capriccio, godalni kvartet, glasbeno-poetske strukture, ritmika, fraza

Keywords: Haydn, Capriccio, String quartet, Musico-poetic structures, Rhythm, Phrase

IZVLEČEK

Haydnov Godalni kvartet op. 9, št. 6, kaže uporabo poetskih konvencij v glasbi. Zasnovan kot capriccio, prvi stavek razkriva labirintsko ritmično strukturiranost, ki je didaktična in zabavna obenem. Tabela glasbenih ritmov in seznam tipov glasbenih fraz dopolnjujeta analizo v glavnem besedilu.

ABSTRACT

Haydn's Quartet op. 9, no. 6, illustrates the application of poetic conventions to music. Viewed as a Capriccio, movement 1 discloses a labyrinthine rhythmic structure both didactic and amusing. A table of musical rhythms and inventory of musical phrase types supplement analyses.

The capricious is usually cited in connection with the visual and literary arts. But I believe it may be encountered frequently in many a score of Joseph Haydn. Though hardly ever using the term *capriccio* as a label for a work or a single movement, the essence of the capricious is more fundamentally disclosed in Haydn's *œuvres*, I believe, than in those of any other composer born in the first half of the 18th century in German speaking lands. Since I do not equate the *capriccio* with the rhapsody or fantasy, the scherzando or divertimento, et al.—although these categories of music might well include capricious elements from time to time—I will begin my essay by turning directly to a single aspect of his music, namely, to a consideration of rhythm as a primary factor. This component, I will argue, expresses in Haydn's music that disposition of creative thought, which I believe nicely illustrates the idea of the *capriccio* as formulated and cultivated in much Western literature, art and music, since the late Renaissance.

The reader should not expect my including here merely a consideration of humorous qualities. On the contrary. I contend that Haydn's more intriguing and significant *capricci* are those that involve a system of unusual components, if not a mix of animating qualities that are most often quite earnest if not surprisingly discomfiting. Moreover, Haydn's finest musical constructions point to a didactic function. After all, the capricious often includes an element of puzzle which, like a labyrinth, threatens frustration at the same time it delights with promises of play and discovery, as well as serious instruction.¹

To my mind, Haydn's *Quartet in A Major, opus 9, number 6*, illustrates several capricious tendencies. The quartet displays a great abundance of elements—especially the fairly lengthy, opening movement—along with an unmistakable, attractive quality of spontaneity and vigor. Or is vigor chiefly a quality to be added by performers, as seems the case in the recorded performance of the *Buchberger String Quartet* (C.D.: Brilliant Classics/Stemra 2004–5), what with its slower tempos and closer miking, in comparison to the *Los Angeles String Quartet* recording (C.D.: Philips, 2000), characterized by refined sound and far more rapid tempos. Surely, performance style can have very much to do with the focussing of our attention on a musical work's varied if not also vacillating aspects (or lack thereof). Besides, I agree with those, who believe that tempo is an aspect of rhythm and therefore can have very much to do with the character of individual passages as well as entire movements. But, in my essay before you, I strive to deal with Haydn's handling of rhythmic elements in such a way that details may be easily identified, and their effect analyzed objectively: I shall only speak of notated rhythm that the reader can see in the score and readily hear for him-/herself.

Surely, the listener will find in Haydn's *A major Quartet, opus 9, no. 6*, a complex of intriguing details, a near jumble—in comparison to many of Haydn's later string quartets—of different rhythms as well as contrasting melodic elements. I also believe that the process of locating Italianate, poetic rhythms in Haydn's melodic phrasing, should prove enlightening as well as amusing. Like a capricious imposition of procedures in one art (i.e., poetry) upon structural aspects in another art (here, music), the *op. 9, no. 6* quartet appears to be an unusually clear instance of the transfer of a *modus operandi* from one art to another. In other words, I am suggesting that, the exploitation of a systemic resemblance linking musical rhythm to rhythmic patterns in poetry amounts to structural elements in the one art heightening the emotional and/or intellectual component in the other art. Briefly stated once again: rhythmic qualities have been transferred from the linguistic to the musical medium with astonishing results. The analysis I give of the opening movement of the *op. 9, no. 6* quartet shows that Italian poetic rules can and do function efficiently, and with artistic ingenuity, within the structural procedures of Haydn's music.

Haydn's Phrasing of Melody

At some point early on—I conjecture—Haydn must have attempted to deal with the mechanics of musical phrasing in terms of Italian poetic theory, in order to achieve a

¹ Among many recent books on the labyrinth, I find Herman Kern's *Through the Labyrinth: Designs & Meanings over 5000 Years* (Munich: Prestel Verlag, 2000), copiously illustrated and highly detailed. It also offers a fine bibliography.

flowing, lyrical style. But the poetic system into which he tapped, served not merely as one of several controls he could apply in musical works. The rhythmic elements he adopted and adapted may—arguably—have been especially productive thanks to their capacity to function quite independently of harmonic plan, of traditional musical structures, and even of performance practice (like tempo and playing style). Fortunately, the rhythmic system Haydn developed is capable of examination without the necessity of including, simultaneously, a thorough inspection of other musical aspects—especially harmonic details—within his music.

On the basis of all of Haydn's early quartets, it appears likely—I believe—that keen perspicacity (rather than mere happenstance) brought Haydn to insert the simple rules of Italian poetry into his musical *bag of tricks*! Permitting clarity and a certain consistency if not total homogeneity of patterns unfolding in time, his sometimes varied yet often reiterating poetic rhythms—so prominent in his compositions—insure that forward push to his musical phrases, ubiquitous in his compositions. Thus, *la bella poesia* guided Haydn's composing of elegant flowing rhythms in order to achieve that semblance of human reflection, along with that “stop and go” quality of spoken exchanges, mixing lively with slow, or bold with tentative and gentle.

Poetic *Ritmi*

In order to get at the mechanics of Haydn's expressive gestures, I will describe in this essay his basis for rhythmic exploitations: namely, Italian *ritmi* (or *ritmiche*) identifying the poetic rhythms of differing lengths of Italian verse-lines, which permitted Haydn's achieving and controlling a lyric flow, along with that forward press or push, mentioned above. But taking yet a step farther, I suggest that Haydn's musical adoption of *ritmi* not only constituted a milestone in the development of his compositional skills: it also stimulated the expanding and refining of his own musical imagination.

The **Table of Poetic *Ritmi***, which I give below, shows graphically how Italian verse-lines will vary in length from 4 to 11 syllables, and that there is a principle, accented syllable at or quite near the end of each line of verse, towards which a group of syllables push. The musical example appended to my paper (giving a large portion of the opening movement of Haydn's *op. 9, no. 6*), illustrates how Haydn achieved phrase-termination immediately following a barline: a circumstance so significantly different from some current, modern assumptions—at least, among American writers on the subject—that musical phrases normally begin immediately after the barline (so that, erroneously, the barline will function to mark the accented initiation of a phrase.²

When examining the musical score (showing a large portion of Haydn's *op. 9, no. 6*) at the end of my essay, the reader will be greatly inconvenienced if he/she bears in mind

² William Rothstein devotes a lengthy chapter (pp.125–183) of his book *Phrase Rhythm in Tonal Music* (N.Y. 1989) to Haydn's instrumental music; however, he has nothing to say regarding Italian *ritmi*, and makes it clear that, for him, phrases are not primarily goal directed: their basic structures are harmonically and melodically shaped by what I regard as “textbook representations” of conventional 2, 4, and 8 measure structures. That he promotes analysis in terms of “hypermeters,” which reduce melodic phrases to a minimum of principle pitches, means that Rothstein hides any clear resemblance of poetic *ritmi* to the melodies he wishes to represent. To my mind, the relationship between a phrase and the location of a barline or barlines within it, will give that phrase a greater or less fluid quality. Thus, many an Italianate phrases in Haydn's music can be recognized from the flowing (often gentle) way it begins, as well as from the way it continues.

the above cited 18th-century Italian convention of expecting the *end* of Haydn's musical phrases (i.e., the last, accented note) at the downbeat of a measure. Once again, I emphasize my paper's principle point. The beginning of each of Haydn's musical phrases is never "kicked off" by an accented downbeat: the start of each phrase comes somewhere after the first beat of a measure: which is to say, it invariably starts on a weak beat of a measure. Only the last accented note of a phrase (like a terminal syllable of text located at or near the end of a line of poetic verse) is properly marked off by Haydn's bar-line. Please consult the many musical instances of this "rule" marked in the score at the end of my paper.

A Look at the Italian Rules of Poetry that Relate to Haydn's Melodic Writing

By the late 1760's, and continuing thereafter with exceptions chiefly for special effects, the resemblance of Haydn's musical phrases to 18th-century Italian *ritmi* (i.e., poetic rhythms) confronts the musician examining the melodies as well as textures of what I consider Haydn's basically Italianate- instrumental-style. Indeed! After careful examination of considerable music of Haydn and his contemporaries, it seems clear to me that, already in Haydn's earliest sets of string quartets, the characteristic of forward movement and elegant flow towards the termination of each phrase of melody (as just described) is ever to be found. Perhaps this circumstance helped many an 18th-century listener conversant with Italian literature to hear in Haydn's instrumental-music not only a close resemblance, but perhaps also a direct reference to some well-known poetic lines. At moments, a semblance of improvisation, as well as shifts back and forth between complexity and simplicity—if not also concentrated reasoning exploded by light humor—are brought to the rhythmical surface of Haydn's music from within the core of a sonorous substance. And like the labyrinth mentioned above, Haydn's music occasionally discloses not only forward motion, but an impression of restarts, redirected thought, and even startling contradictions heightened by unexpected breaks in an otherwise smoothly poetized continuum. To my mind, Haydn developed a technique allowing—nay encouraging—extraordinary flexibility of rhythmic expression impinging on nearly every other aspect of his musical style, including that intriguing succession of unexpected events he seems to have sought and achieved in his music, time and again.³

My annotations (i.e., numbers and other symbols) to the principal melody for the first violin in the opening movement of *opus 9, no.6* (shown in the musical score at the end of this essay), identify phrase-types that will be cited and described in the next section of this paper.

³ Mathematical reflection, as opposed to poetic analysis, seems to be Rothstein's chief interest: cf. fn. 2 above. In principle, this bias is also a motivating element in analyses of Heinrich Schenker—highly regarded and influential in the U.S. today—whose influence on Rothstein is unmistakable. On the other hand, one could speak of a tradition already in Haydn's day, of promoting a basically mathematical approach to music (although I do not suggest here that Haydn would have agreed with such approaches). E.g., the Spanish mathematician Antonio Eximeno (1729–1808), whose *Del' origine e delle regole della musica* (Rome 1774) and a few later publications, created a furor in his day. Cf. the discussion on Eximeno in Enrico Fubini: *Music & Culture in Eighteenth-Century Europe* (Chicago, 1994), pp. 340–46, *et passim*, along with Padre Martini's distrust of what he considered Eximeno's unacceptable mathematical approach to texted, choral music.

An Examination of Poetic *Ritmi*, and Musical Phrasing in Haydn's Op. 9, no.6, Quartet in A major (1st movement)

A description of musical phrases in terms of *ritmi*, i.e., poetic rhythms of Italian poetry, should help the reader locate both accented and non-accented notes, as they are exhibited in the first movement of Haydn's *A major Quartet opus 9, no. 6*. Thus, my **Table of Musical Ritmi** below, cites the poetic meters and their modifications, encountered in the first movement of this A major String Quartet. On the other hand, my narrowly focussed **Inventory of Ritmi of the First Movement opus 9, no.6**, which I also provide below (but after the **Table**), discloses the frequency of occurrence of different types of musico-poetic phrases within this same first movement. Thus, measure numbers will help the reader not only locate, but compare, musical similarities within each class of *ritmi* as they are displayed in Haydn's opening movement.

The reader will probably discover that he/she will not encounter difficulties memorizing the basic principles of my **Table of Ritmi** since a few technical terms, which recur frequently, are distinguished in three basic categories, all nicely illustrated in Haydn's movement under consideration. Thus, a musical phrase:

1) may consist of the normal, full number of notes defining the phrase-type (commonly called "*pieno*"); or

2) may be truncated, i.e., missing the terminal, unaccented note, normally following the principle, accented one (therefore called "*tronco*"); or

3) may be extended by a single extra note, creating a pair of notes following the principle, accented one at the end of the poetic line or phrase ("*sdrucchiolo*").

My **Table of Ritmi** therefore shows that portion of the poetic system (in terms of the syllable count possible in each phrase type) upon which Haydn relied when composing his *op. 9, no. 6*. On the other hand, my **Inventory, of the Ritmi in the 1st Half of the First Movement op. 9, no.6 (first violin part)**, that appears a page later in this essay, supplies the measure-numbers of each occurrence of seven different basic phrase lengths (i.e., from the four-note phrase, "*quaternario*", through to the ten-note phrase ("*decasillabo*"), all to be found in the quartet's opening movement. I do not find eleven-note or longer phrases in the Haydn movement under consideration, although Italian poetic theory does allow the 11-syllable line ("*endecasillabo*") as the longest of those poetic lines frequently encountered from the 17th through the 18th century (and in theory, to the present day).

The reader should also be cognizant of the fact that Haydn's entire movement contains 133 measures, but that it is cast in two repeated halves (totalling 266 measures if both halves are repeated as indicated in Haydn's score). Therefore, my statistics given here merely cover the movement as were it performed once through, without repeats.

The **Inventory of Ritmi of the First Movement**—given shortly after my **Table of Ritmi**—identifies the location of each type of phrase within Haydn's movement. Because it uses Italian terminology (i.e., labels used in poetic analyses today), a few additional comments are made here to clarify alternative lengths, which is to say, distinctive shapes, each *ritmo* may assume.

Hopefully, the reader will consult my **Inventory** in conjunction with an examination of the musical score (reduced to the 1st violin and cello parts in my transcription) at the

end of my essay. My musical reduction includes horizontal brackets designating the first violin's *ritmi*, and between staves (where space permits), the name of each *ritmo*. Most importantly, a circled number within each *ritmo*, identifies the primary accent belonging to that *ritmo*. A plus-sign, identifying the system's permitted addition of a single unaccented note, changes the normal (*pieno*) phrase into an extended (*sdrucchiolo*) phrase. Also permitted, according to traditional Italian poetic rules, is the deletion of a terminal unaccented syllable (for Haydn, the corresponding unaccented note, at the same location) to create the so-called *tronco* (or truncated line). All of these details are included as words and/or numbers in my musical reduction.

If not initially, then eventually, the reader should try to consult my **Table**, my **Inventory**, and my **Musical Reduction** together, because redundancies of information deliberately included, will reassure the reader of his/her grasp of terminology pointing to musical functions, and varying musico-poetic contexts. On the other hand, should the reader wish to examine my **Table of Ritmi** first, and by itself, I offer the following introductory overview of *ritmi* as encountered in Haydn's music.

A Preliminary Description of my Table of Ritmi

For the convenience of the reader, I abbreviate un-accented and accented syllables with two signs (resembling the letter "u" and slash "/" respectively). In the first case, the *quaternario*—generally abbreviated *4rio* or *4rio pieno* in modern literary sources—refers to the poetic line normally of four syllables [uu/u], and is therefore called *4rio pieno*, or full. Secondly, if it is lacking its final unstressed (i.e., un-accented) syllable, it is named *4rio tronca*. [uu/], which is to say truncated. Thirdly, if it has an extra unstressed syllable after the 4th or last syllable of its so-called normal or full poetic shape), it is named *4rio sdrucchiolo*. [uu/uu]. But important to bear in mind is that the simple designation *4rio*, may be used to identify the *4rio sdrucchiolo* as well as other length of *4rio* lines, because the basic, most important information that the term *quaternario* or "*4rio*" discloses, is the exact location of its primary, accented syllable (always in the same position; always the 3rd syllable). In other words, a single type of phrase may include different possible lengths. But this circumstance has a *raison d'être* that is very simple. The crucial point to commit to memory is that the designation *4rio* (in all its guises) tells us exactly where the principle accent of a musical phrase (and of a poetic phrase in the case of texted music) is located. This information is significant, and fortunately, quite easy to see in a musical score, as well as to hear in performance.

Let me also remind the reader that the principle accent at the end of an Italian poetic line set to music for singing, will always be placed just after the barline, i.e., on the down-beat! To wit: the defining syllable of a poetic line must always coincide with the defining, accented note of a musical phrase, which the reader should now expect immediately after the barline. Fortunately, such musical technicalities as these, are extraordinarily easy to observe, since the system of *ritmi* is constructed as were it not only to regulate poetic expression, but to simplify analysis. The musician needs only to count back from the principle accent (almost always marked off by the barline) in order to see how many syllables there are—which in turn defines the type of musical phrase in question.

Surely, the simple rules spelled out here, make clear why an Italian poem (and Haydn's music, even when he mixes different phrase lengths in succession) must flow so nicely. The accented syllable (or note) near or at the end of a poetic line (like a musical phrase), can be positioned to insure a uniform pulse or rhythmic flow that pulls syllables (notes) towards termination, where speaker (musician) will momentarily breathe or relax to signal the end of a "thought".

In the same way that Haydn employed the *4rio* (phrase of four notes), he exploited phrases of five through ten-notes, in his Opus 9, no. 6, A Major Quartet, and in a way consistent with the scheme of poetic-lines of Italian poetic theory. As the reader will see, I give a schematic representation of Haydn's musical phrases in my **Table of Ritmi**. Hence, this table is chiefly meant to emphasize the fact that, while the principles of musico/poetic procedures are consistent, lengths of phrases (in syllable-counts and note-counts) are flexible.⁴

Regarding the poetic line named *endecasillabo* (for the eleven-syllable line), I have not seen its musical equivalent within the *Opus 9 Quartet* movement. Moreover, it is interesting that there is no verse-line of twelve-syllable length in Italian poetic theory, in light of the fact that, one may add two lines of *quadernario* (i.e., 4 syllables) together to create an *ottonario* (8 syllables). And one may not—according to common poetic practices—connect two differing lines (like *4rio* plus *7rio* to produce a line of 11 syllables, although I have seen this in a few Haydn's phrases in different works, here and there).⁵

Table of Musical Ritmi

My table below describes different *ritmi* of Italian poetry (which can be associated with various musical phrases in Haydn's *Op. 9, no. 6*). Thus, my letter "u" represents a note normally unstressed; my slanted stroke "/" stands for an accented note. The reader, presumably, will find these two symbols clear and convenient for marking un-accented and accented musical notes, respectively, in analyses of Haydn's other musical scores (as well as all of the Italian poetry of his era).

N.B. I use the standard abbreviation *-rio* when designating an Italian verse-line, like *4rio* for *quadernario* (i.e., the four-syllable line). However, Italian ten- and eleven-syllable verse-lines demand the suffix *sillabo*. Thus, *decasillabo* for the ten syllable line is abbreviated *10bo*.

⁴ As pointed out and illustrated, the abbreviation *4rio* stands for the poetic line of four syllables: *quadernario*. This, and similar abbreviations, is widely encountered in Italian guide books on literature. (Cf. Putnam Aldrich's *Rhythm in 17th-Century Italian Monody*, op.cit., pp.14–15, for primary sources of the 17th century, and pp.105–9, *et passim*, for published explications of the 17th but also 19th- & 20th-century.) Virtually all of Aldrich's sources discuss the verse lengths including the five-syllable line: *quinario*, the six: *senario*, the seven: *settenario*, the eight: *ottonario*, the nine: *novenario*, and the ten: *decasillabo*, abbreviated *10bo*.

⁵ Cf. again, Aldrich: *Rhythm in Seventeenth-Century Italian Monody*. Although his chpt. 5 (pp.103–133), devotes space to poetic rhythms in 17th-century Italy, this earlier century's solo vocal music is extremely simple in comparison to the instrumental movements of Haydn under consideration here. Nonetheless, Aldrich's application of terminology and descriptions thereof, are excellent and fully applicable here.

Quaternario

4rio tronco: uu/

4rio pieno: uu/u

4rio sdrucchiolo: uu/uu

Quinario

5rio tronco: uuu/

5rio pieno: uuu/u

5rio sdrucchiolo: uuu/uu

Senario

6rio tronco: u/uu/

6rio pieno: u/uu/u

6rio sdrucchiolo: u/uuu/uu

Settenario

7rio tronco: u/uuuu/

7rio pieno: u/uuu/u

7rio sdrucchiolo: u/uuu/uu

Ottinario

8rio tronco: uu/u uu/

8rio pieno: uu/u uu/u

8rio sdrucchiolo: uu/u uu/u

Novenario

9rio tronco: uu/uuuu/

9rio pieno: u/uu/uu/u

9rio sdrucchiolo: uu/uu/u/uu

Decasillabo

10bo tronco: uu/uu/uu/

10bo pieno: uu/uu/uu/u

10bo sdrucchiolo: uu/uu/uu/uu

Endecasillabo

11bo tronco, 11bo pieno & 11bo sdrucchiolo: are in theory possible (but in Haydn's op.9 no.6 quartet they are not used).

An Inventory Citing the Occurrence of Different *Ritmi* in the First Violin Part of Haydn's Quartet, op. 9, no. 6, (1st movement)

In my **Inventory**, below, measure-numbers refer to the location of one or several rhythmic elements within a musical phrase, which I equate with a poetic *ritmica*, so that the reader may locate each phrase but also observe its frequency of utilization within Haydn's movement. By now, the reader will know that I use the word *ritmi* or *ritmiche* (having borrowed this technical terms from Italian poetic theory) to describe musical phrases in Haydn's movement. When a *ritmica* (singular of *ritmi*) is repeated one or more times to stretch over several measures, I show (in my inventory) such linked measures by means of a dash. Thus, 63–67 does not mean that a statement of a single 4^{rio} *tronco* has been stretched out over five measures, but that there are several different *quinari* phrases occurring in succession, through these five measures. The reader is urged to consult a full score in order to grasp more fully the character of varying phrase lengths and shapes in succession (details which I choose not to crowd into my inventory). On his or her own, the reader should find, by consulting a score, how many statements of a single, repeating rhythm may be linked within a series of consecutive measures. Moreover, I indicate phrase-groups as briefly as I can, not only because I wish to shorten and simplify my chart: I also want to call attention to the occasional repetition of a single rhythm, even though Haydn's op.9, no.6, 1st mov't., includes but a few series of a single, specific phrase type.

Unless otherwise stated, *ritmiche* cited here are in the hands of the first violinist, who, like a concerto-soloist, dominates the three accompanying players of the string quartet.

Inventory of *Ritmi* in the first half of the 1st Movement, Op. 9, no. 6. 1st Violin Part only.

4rio tronco: measures 7. 10. 63–67. 89–90. 105–6. 113. & 115.
4rio pieno: measures 4–5. 16–17. 30 & 52–53.
4rio sdrucchiolo: no instance.
5rio tronco: measures 6. 14–15. 85. 92. 107–8. 105–6. 107–8.
5rio pieno: measures 24. 30–31. 86–87. 116–23.
5rio sdrucchiolo: measures 6. 26–29. 68–84. 91. 103–4. 110–11. 130–33.
6rio tronco: measures 3. 13. 91–2. 123–5. (and 2nd vl: 128–29. 131–33)
6rio pieno: measures 1–2. 8–9. 46–51. 93–94. 126–27.
6rio sdrucchiolo: measures 87–88. 131–32.
7rio tronco: measures 18–19. 40–41. 116–23.
7rio pieno: measures 20. 96–99. 128–29.
7rio sdrucchiolo: measures 21–23. 32–39.
8rio tronco: measures 58–59.
8rio pieno: measures 52–57. 96–102. 110–14.
8rio sdrucchiolo: measures 11–12. 112.
9rio tronco: no instance.
9rio pieno: measures 60–62. 86.
9rio sdrucchiolo: measures 42–45. 93–95.
10bo tronco: no instance.
10bo pieno: measures 60–62.
10bo sdrucchiolo: no instance.

N.B. There is no instance of the 11-syllable line (the *endecasillabo*, in any of its three possible forms: *tronco*, *pieno* or *sdrucchiolo*) in this quartet by Haydn. As far as I have been able to ascertain, the *endecasillabo* seems to have been used but rarely in 18th-century Italian poetry. On the other hand, there are in the *Opus 9, no. 6 Quartet* a few unusual combinations of different *ritmiche* occurring simultaneously as well as successively. Unhappily, some measures (110–113 for example) show phrasing (i.e., *ritmi*) that may represent errors of editors who do not read Haydn's intentions correctly—perhaps—because they think in terms of modern phrasing or bowing instead of looking for possible or typical 18th-century markings in conjunction with likely articulations and/or harmonic details.⁶

The Ever Lingering Possibility of Capriccious Elements

I hope that technical details I cite in conjunction with the idea of poetic patterns serving as models for a musical phrases, may seem to the reader akin to a capricious practice, which is to say, a manipulating of intellectual elements to achieve an artistic consistency promoting qualities of flow with subtly articulated rhythmic accents. Involved,

⁶ In studying Haydn's quartets I have consulted the Doblinger edition (Munich/Vienna) ed. by Reginald Barrett-Ayres and H.C. Robbins Landon. N.B.: measures 116–23, of the *Opus 9, no. 6*, first movement, are also quite unusual in that a syncopated, or off-beat pattern, tends to obscure what I consider *5rio pieno* (as I have indicated in my inventory above).

surely, is an ingenious trick—if my interpretations are correct—despite those poetic-musical linkages anticipated (in principle) in Italian monodic song of the 17th century (this earlier repertory being the subject of Putnam Aldrich's book, cited in **footnotes 4 & 5** above). Nonetheless, Haydn played an important role by re-inventing (or rather, re-applying) a connection between the mechanics of poetry and music, and doing so with highly sophisticated, and far more complex instrumental means, unquestionably outstripping those of 17th-century monodic songs and dances. If I single out Haydn for special praise, it is because I fail to locate contemporaries in German or Italian speaking lands, that reach Haydn's artistic level of manipulating rhythmic complications until after they would have had opportunity in the closing decades of the 18th century to have studied his published scores.

Future research into the so-called *Period of Classicism* and into Haydn's role in the 18th century, may object to any isolation of Haydn from a large group of German-, Slovak-, and even Italian-speaking composers, in order to assign him a special position in the development of instrumental forms. But Haydn's manipulations of *ritmi* as I view them (coordinated with ever more complex harmonic, contrapuntal and tonal structures in conjunction with orchestrations for ensembles both large and small) indicate to me a pathbreaking achievement. A close reading of works by numerous composers I have examined in the *Kaiser Sammlung* at Vienna's National Library and elsewhere, make me reflect that, decade for decade, Haydn's high level of inventive manipulation of *ritmi* is neither matched, nor anticipated, in repertories written by other composers.⁷

Closing remarks on Haydn's String Quartet in A, op. 9, no. 6

In one sense, my **Inventory** may produce a misleading view of Haydn's first movement of *op. 9, no. 6* quartet. Being organized not chronologically but somewhat casually by phrase-types (albeit for the sake of the reader's convenience), my **Inventory** turns the continuity and unfolding of Haydn's movement into a jumble of information that does not bear directly on the gradual unfolding of musical gestures, and therefore fails to link rhythmic phrases with longer melodic shapes, let alone the succession of tonalities that do so much to determine larger aspects of this movement's form. (E.g., the first movement's opening section is in the tonic A major, the second in the dominant E major, etc.). However, my **Inventory** should direct attention to the individual shapes of phrase-types on the micro-level of individual notes, and of separated clusters of notes. It also endeavors to teach the reader how many rhythms are involved in a single movement, as it teases the reader into looking at different melodic elements reconfigured in different shapes.

But, nothing I say here denies the point that Haydn's brisk tempo (*presto*) for the opening movement does much to insure continuity, if not the semblance of an improvised highly charged, forward motion. The fast tempo may well have been a tactic to fool the listener into an acceptance of a rare, irregular continuity of musical patterns. Surely,

⁷ Warren Kirkendale's study of the *Kaiser Sammlung* is included in the English, expanded revision of his German doctoral dissertation (Vienna 1966). Entitled *Fugue and Fugato in Rococo & Classical Chamber Music* (Duke University Press 1979), this book surveys with splendid commentaries many Haydn scores in MS. Although he does not include consideration of Italian poetic phrasing *per se*, he remains ever deeply concerned with styles, textures, and structures of this repertory.

a slower presentation of Haydn's mix of *ritmi* would not and could not have generated the exciting results, which Haydn's *Presto* signals.

I hope my analysis of this opening movement offers a strong case for the hypothesis that, by the late 1760's, Haydn had grasped the musical value to borrowing and exploiting basic principles of Italian poetry in a creative way that 18th-century *litterati* would never have attempted or even contemplated, limited as they were to the medium of the spoken word alone. Thus, it was Haydn's idea, I assume, to let a capricious situation be set forth by means of an artistic medium—I mean music—allowing an amalgamation of elements that few Italian poets considered, and then only began to explore in experiments of the 20th century (as the avant guard began delving into complex rhythmic juxtapositions of traditionally incompatible elements).

The opening, *presto* of the opus 9 no. 6, is an inventory, if not a guide to the exploitation of Italian *ritmiche* by exploiting finely nuanced rhythms, in a plethora of ways. I argue that so much data is displayed in my **Table** and **Inventory**, that Haydn's inventive knack cannot be brushed aside or simply attributed to accident. The information is elegant in its adherence to a simple, basic system.

Or does the movement under consideration gain its coherence thanks to a simple natural phenomenon? Would it be reasonable, for example, to suppose that Haydn's opening movement discloses melodic rhythms that describe the flow of a fast-moving river, with a plurality of currents caused by hidden yet disrupting stones on the river-bed. My image of moving water is apt, perhaps, if it helps suggest why both the Buchbinder String Quartet, and the Los Angeles Quartet seem successful, despite very different performance tempos, in projecting or describing disruptions in the melodic flow. A comparison of their performances in such terms may suggest that a hidden, rocky structure under water are akin to elements shaping musical phrases to display differing surface qualities (i.e., in the 1st violin part, of course).

The Origins of Haydn's compositional technique?

Surely, the origins of Haydn's compositional method would be very interesting to know. After a bit less than a decade serving the *Esterhazy Court*, Haydn had incorporated to a notable extent, it would seem, the musical equivalence of Italian prosody into his compositions. But what linguistic guidance, specifically, might he have enjoyed in the process of learning about poetic *ritmi*? What nicely honed judgements had he and his aristocratic patrons possibly exchanged and pondered? And what artistic counselling may he have seized but to refine?

Surely, the opening decade of service at Esterhazy must have meant for Haydn a period of maturation as music historians generally contend, but without—I believe—pointing toward the technical system that made expansion of forms as well as artistic expression so extraordinarily significant throughout his later career. The many dozens of quartet movements I have examined closely, convince me that a re-examination of manuscript sources is needed along with a detailed inventory of the many instances of poetic rhythms that crowd his instrumental music to the very end of his career!

A few final musical examples.

On the next page I give the two-staff reduction of the *op. 9, no. 6*, to which I have referred occasionally throughout my essay. I regret that my transcription of the opening movement of the *Quartet in A major, op. 9, no. 6* is lacking parts for 2nd violin and viola; and yet the resulting treble-bass texture, along with my added labels do identify the types of *ritmi*, and the accented notes of each *ritmo* encircled. With this skeleton score in hand, the reader may be guided, initially, in examining prominent features of the quartet's 1st movement. Although my reduction is also limited to the first half of the movement, it should suffice to illustrate the character and large number of different *ritmi* (as cited in my *Table of Ritmi*, above). Despite the possibility that this movement may never gain the public admiration shown so many other Haydn quartet movements, it does seem to me that this relatively early work is an astonishingly fine demonstration of the flexibility and control of the mechanics of composition mastered already by the late 1760s.

In order to illustrate Haydn's continuing though slightly different handling of *ritmi*, I include below a brief musical excerpt from the *London Symphony in D major, no. 104*, of 1795, that will remind the reader of Haydn's propensity to charm and amuse the English public. In my brief example, disruptions, which I have marked, interrupt the melodic flow, but not the continuity of a single *ritmo*: the *quinario* in this instance is humorously extended (as I trust my markings suggest).

In Haydn's *Minuet* of the *Quartet in B-flat* of 1797, *op. 76, no. 4*, nicknamed *Sunrise*, the two violins alternate to produce the standard *Grío*, or "six syllable rhythm", which by definition has accents on the 2nd and 5th "syllable". As the two violins wait for each other, rests are used as markers. Such rests are also encountered in many other works. But I show the start of the quartet's 3rd movement, *Minuet*, to suggest that, because there is no three-syllable line permitted in the scheme of Italian poetic rhythms, the two violins must cooperate to produce the standard *Grío* or six syllable rhythm. (with accents on the 2nd and 5th syllable as just mentioned). Thus, the two violins must be interrupting as they complete each others' remarks. We see (and hear, of course) a detail pointing to the fact that, for Haydn, the tradition of Italian poetics was never abandoned, even when his musical ideas were extremely simple, disarmingly so! Or is there a hidden trick at hand? However that may be, I rather like the idea that Haydn would have enjoyed provoking music-lovers and scholars to disagreements, especially when his phrasing is so remarkably unassuming, nearly naive, in its simplicity.

It is intriguing to suppose that the relatively complicated *capricci* of Haydn's earlier works gradually yielded to broader, more obviously displayed gestures. His addressing of a wider public in later works may have driven subtleties aside? And yet, the countless examples I believe I find in late symphonies, as well as chamber music, suggest that the composer ever remained faithful to a cultivation of the *capriccio*, and specifically, the *capricci* exploiting the rhythmic components of his music.

Appendix: analytical scores

Quartet op. 9, no. 6

Presto

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000

Symphony #104 2nd movement (1795)

initially

later

Quartet op 74 no 4 (1797)
" sunrise " 3rd movement

no. 1.

no. 2.

fmo piano

fmo piano

(NB R rests used here)
 (as markers.)